

## OVERVIEW

CE Broker works with more than 130 licensing agencies in 22 US states to serve over 3 million licensed professionals with a modern, paperless method to track and report CE requirements for State Licensure Renewals.

### Desired Impact

1. Provide Board Staff with an efficient, digital method to access CE documents and proof of competency in the event of an audit, as well as a paperless platform for application approvals.
2. Work with Nationally Accredited & State Approved Educational Providers to collect completion records, for use in audits by staff members of the **Nevada Applied Behavior Analysis Board**
3. Provide all licensed professionals with **free accounts** to digitally report, manage, monitor, and submit records in accordance with State Requirements.
4. Provide Educational Providers with a suite of free tools to manage accredited offerings, and directly report attendance data & course completions for verification of CE activity.

### Easing the Burden of Regulation & Reporting

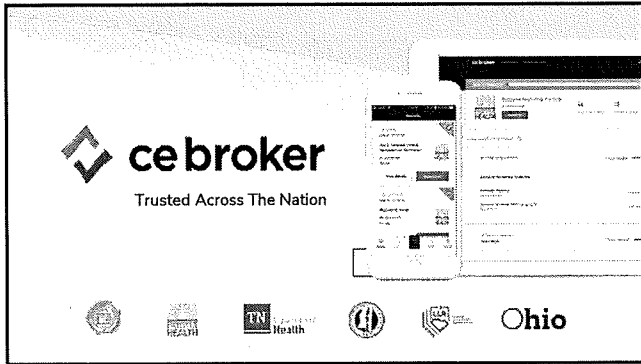
CE Broker was developed to streamline the reporting process for license holders, while providing regulatory boards with an efficient method of verifying CE Compliance. Our platform has been shown to dramatically increase compliance rates, by making competency requirements clear and easy to understand for license holders, while our dedicated support team simultaneously helps spread awareness of renewal requirements, reducing the volume of CE-support related requests to agencies across the country.

### Proven Success with Mental Health Boards

CE Broker has been utilized by a growing number of Mental Health Boards including the states of South Carolina, West Virginia, Ohio, North Carolina, Wyoming, and Florida,

### No-Cost Solution

Our platform is independently funded through voluntary services for educational providers and subscription services for licensed professionals. There are **no costs** to the regulatory Board for implementation, support, or customization pertaining to CE Requirements. Additionally, Licensed Professionals and Educational Providers have access to an entire suite of tools for complete CE Management without any mandatory cost or fees. Arguably the most popular feature is our **free mobile app** to snap and report CE, search for courses, view compliance status-manage everything on the go!



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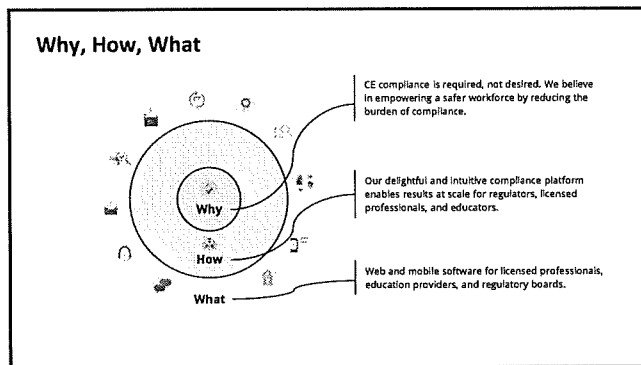
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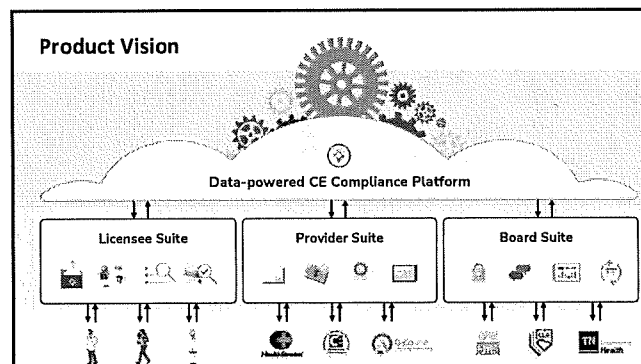
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### Our History

			
Founded In <b>2003</b>	Licensees <b>3+ Million</b>	CE Providers <b>10,000</b>	Boards <b>+130</b>

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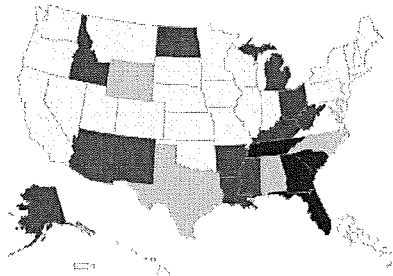
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### Board Partnerships



100 - 5,000
5,000 - 100,000
100,000 - 500,000

Logos for partner organizations: ABBOT, Aetna, Anthem, Blue Cross of Michigan, Blue Cross of New York, Blue Cross of Tennessee, Blue Cross of Wisconsin, Blue Cross of California, Blue Cross of Illinois, Blue Cross of Indiana, Blue Cross of Kansas, Blue Cross of Kentucky, Blue Cross of Louisiana, Blue Cross of Maryland, Blue Cross of Massachusetts, Blue Cross of Minnesota, Blue Cross of Missouri, Blue Cross of Nebraska, Blue Cross of Nevada, Blue Cross of New Jersey, Blue Cross of New Mexico, Blue Cross of North Carolina, Blue Cross of North Dakota, Blue Cross of Ohio, Blue Cross of Oklahoma, Blue Cross of Oregon, Blue Cross of Pennsylvania, Blue Cross of Rhode Island, Blue Cross of South Carolina, Blue Cross of South Dakota, Blue Cross of Texas, Blue Cross of Utah, Blue Cross of Vermont, Blue Cross of Virginia, Blue Cross of Washington, Blue Cross of West Virginia, Blue Cross of Wyoming, LAPA, OC HEALTH, TN.

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### Secure, Stable, Scalable

 FIPS compliant	 Multiple data centers	 Biannual stability & scalability testing	 24x7x365 IT department
 DSS compliant	 256-bit Encrypted SSL	 Continuous monitoring	 High availability infrastructure

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### CE Broker Benefits Everyone

-  **Boards** can save time and money while simplifying common CE processes such as audits, verifications, and licensee communication.
-  **Providers** can get approval from boards faster, choose from a number of convenient reporting options, and advertise in our Course Search.
-  **Licensees** are given a suite of comprehensive tools that simplify CE management and increase CE compliance.

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## The Board Suite

For Regulating Boards

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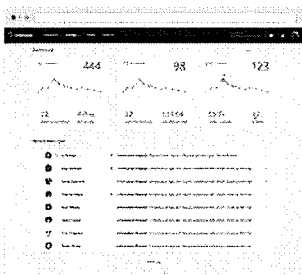
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### The Board Suite



Each board office and designated staff user will have a secure account where they may perform CE audits, individual approvals, and more.

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**Simplifying Audits**

**Option 1**

Compliance at Renewal

**Option 2**

Compliance at Renewal

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**Simplifying Audits**

**Option 1**

**Enforce CE Compliance at the time of renewal**

- Licensees attempt to renew online
- The licensing database may query CE tracker in real-time to check for current compliance status
- If licensees reflect as non-compliant, the board may enforce a "hard-stop" or "soft-stop" before permitting license renewal

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**Simplifying Audits**

**Option 2**

**Standard prior renewal audits**

- Licensees attempt to renew online
- The licensing database may query CE tracker in real-time to check for current compliance status
- If licensees reflect as non-compliant, the board may enforce a "hard-stop" or "soft-stop" before permitting license renewal

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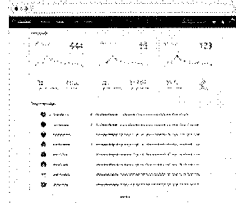
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## The Board Suite

Each board office and designated staff user will have a secure account that includes various CE management tools regarding registered educational providers.

### Tools available to board users:

- Paperless communication between board staff and CE providers
- Approval queues with reminder messages to designated staff members
- Review flow where individual applications can be routed to other users
- Paperless history of provider requests, responses, approvals, course offerings, and CE data



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## Provider & Course Approvals



Centralized, digital applications which collect all required documentation



Approval flow to simplify the process for board staff



Unique tracking number for approved courses



Unique tracking number for each approved provider



Internal communication and data exchange between staff and CE providers

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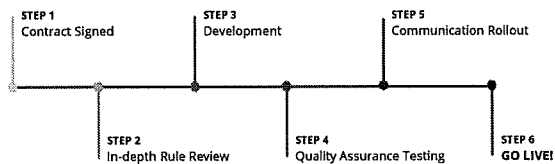
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## Simple Implementation



(Approximately 120 Days)

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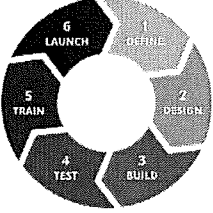
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### Agile Methodology



- 1. Define** | Our Partner Success team goes through your CE Laws & Rules to identify the scope, and level of customization required for the project.
- 2. Design** | Our Implementation Specialist begins mapping out your licensure scenarios, for every possible nuance and combination of requirements. By the end of this process, we will have effectively created 100% accurate transcripts for every license type under your jurisdiction.
- 3. Build** | We pass off the information gathered in our research and design phase over to the development team. Our developers immediately begin building out the system and handling any necessary customization.
- 4. Test** | Our Quality Assurance team begins hands-on work to ensure the system is ready to go-live, stable, and that every licensure scenario is reflecting properly. We ensure that your staff receive a polished product, regardless of the complexity involved for any license type.
- 5. Train** | Our Partner Success team will provide personalized training to ensure that your staff members become experts with the system, before launching. We use a combination of webinars, virtual recordings, and in-person meetings to bring your whole team up to speed.
- 6. Launch** | Our Marketing & Communications teams will assist your staff with the initial announcements, and ongoing outreach to licensees, providers, and associations to ensure that every stakeholder is on-board.

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## The Provider Suite

For Educational Providers



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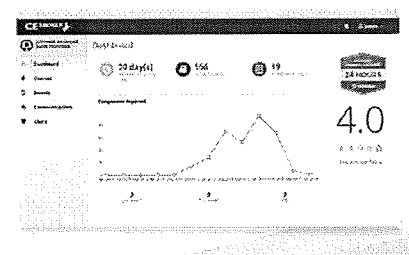
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### The Provider Suite



Educational providers have access to their own suite of tools designed to lift the burden of CE Compliance away from board staff and licensees.

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### Add your course to our library




Course advertising gives providers a competitive edge



Search filters make it simple for licensees to find courses they need



Increased course visibility to thousands of licensees during renewal



View a comprehensive list of board-approved educational material

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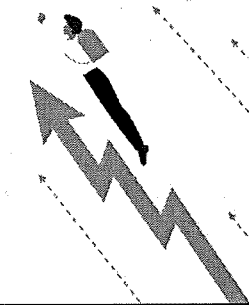
### Get ahead of the competition



Build trust with licensees by reporting quickly or automatically via CE Broker



Save time and money by going paperless and switching to digital recordkeeping



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### Reporting Options



#### Educational Provider Reporting

To accommodate a large array of educational providers - our suite supports multiple reporting options. This includes one-by-one submissions, bulk record uploads, and complete integration for automated reporting.



#### Licensee Self-Reporting

Licensees can easily report CE at any time from multiple devices. During submission, the licensee must answer state-determined questions, and attach corresponding documentation to complete the submission.

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### Reporting Options for Providers

#### Option 1

One-by-one

#### Option 2

Bulk Upload

#### Option 3

API

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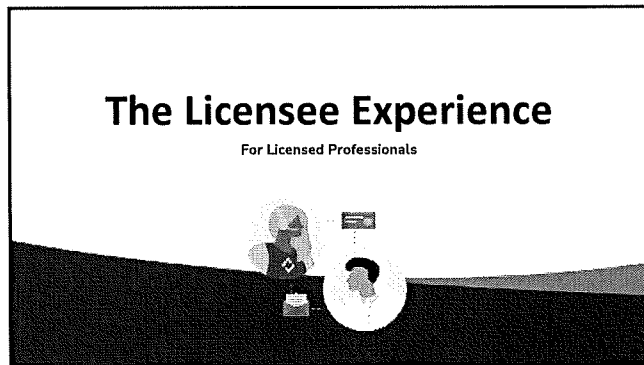
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## The Licensee Experience

For Licensed Professionals



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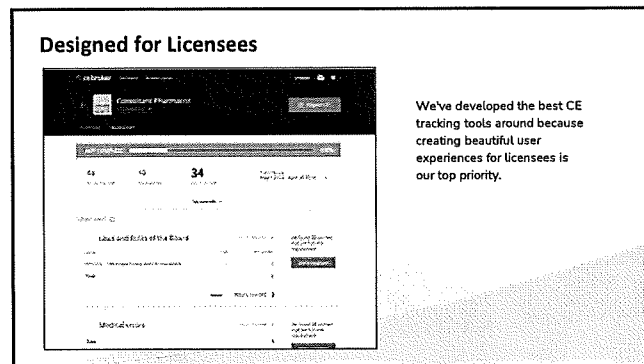
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### Designed for Licensees



We've developed the best CE tracking tools around because creating beautiful user experiences for licensees is our top priority.

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Account Options	(\$0) Basic Account	(\$29/yr) Professional Account	(\$99/yr) Concierge Account
Manual Reporting	✓	✓	✓
CE Broker Course Search	✓	✓	✓
Renewal Notifications	✓	✓	✓
Access Detailed Course History	✓	✓	✓
Official Record Storage	✓	✓	✓
Multiple License Tracking	✓	✓	✓
Personalized Compliance Transcript		✓	✓
Dedicated Account Manager			✓

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### CE Experts & Quality Support

Providing dedicated, quality support to alleviate call & email volume for our regulatory partners, while eliminating confusion surrounding CE Requirements, deadlines, and audits.


**Emails**  
**65,774**


**Live Chats**  
**82,268**


**Calls**  
**109,847**

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### Free Mobile Applications


**Snap & Report**  
 your certificates


**Track CE Status**  
 anywhere in real-time


**Search for Courses**  
 on any phone or tablet


**Free Download**  
 on Apple & Android



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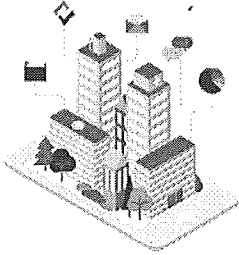
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### Seamless Integration



CE Broker's simple API can quickly interface with your licensing database

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### Compatible with your licensing database

We work with the top licensing and enforcement systems.



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## Thank You!



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